

02-06-07

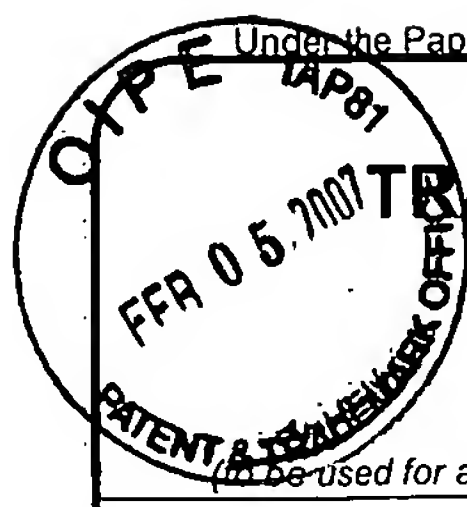
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PTO/SB/21 (09-06)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/587,344

Filing Date

July 25, 2006

First Named Inventor

Stephan Branstadter, Ph.D.

Art Unit

Unassigned

Examiner Name

Unknown

Attorney Docket Number

PC3-301

## ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

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Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

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Reply to Missing Parts/Incomplete Application

☐

Reply to Missing Parts under 37 CFR 1.52 or 1.53

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Drawing(s)

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Licensing-related Papers

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Petition

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Petition to Convert to a Provisional Application

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Power of Attorney, Revocation

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Change of Correspondence Address

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Terminal Disclaimer

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After Allowance Communication to TC

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Appeal Communication to Board of Appeals and Interferences

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Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)

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Proprietary Information

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Status Letter

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PTO Form-1449

Copies of all cited foreign references and articles

Remarks

Customer No. 021567

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Firm Name

Wells St. John P.S.

Signature

Printed name

Robert C. Hyta

Date

1/31/07

Reg. No.

46,791

## CERTIFICATE OF TRANSMISSION/MAILING

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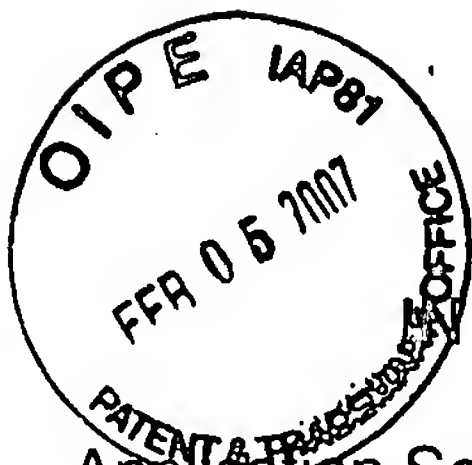
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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EV979440227



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. .... 10/587,344  
Filing Date ..... July 25, 2006  
Inventor..... Stephan Brandstadter, Ph.D. et al.  
Assignee..... Great Lakes Chemical Corp.  
Group Art Unit..... Unassigned  
Examiner ..... Unknown  
Attorney's Docket No. .... PC3-301  
Customer No. .... 021567  
Title: Compositions, Halogenated Compositions, Chemical Production and Telomerization Processes

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

References -- See Attached Form PTO-1449

The attached Form PTO-1449 is submitted in compliance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, your attention is directed to the references listed on the attached Form PTO-1449. Pursuant to Federal Register Vol. 69, No. 182, pg. 56542 (September 21, 2004), no copies of any cited U.S. patents or U.S. published applications are included herewith. Copies of all other cited art references are attached. No admission is made regarding whether the submitted references are prior art.

This Information Disclosure Statement is being filed before receipt of the first examination on the merits. Therefore, no fee is believed to be required. However, in the event that a fee is required for filing this Information Disclosure Statement, please charge the fee specified under 37 C.F.R. §1.17(p) to Deposit Account No. 23-0925.


Citation of these references is respectfully requested.

Respectfully submitted,

Dated: 1/31/07

By: 

Robert C. Hyta  
Reg. No. 46,791

Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO. PC3-301	SERIAL NO. 10/587,344	
 <p>LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)</p>					APPLICANT: Stephan Brandstadter, Ph.D. et al.		
					FILING DATE July 25, 2006		GROUP Unknown
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	1,092,141	11/67	Daikin et al.			
	AB	2,559,749	07/51	Benning			
	AC	2,567,011	09/51	Diesslin et al.			
	AD	2,597,702	05/52	Benning			
	AE	2,995,542	08/61	Brown			
	AF	3,083,224	03/63	Brace et al.			
	AG	3,096,207	07/63	Cohen			
	AH	3,112,241	11/63	Mackenzie			
	AI	3,145,222	08/64	Brace			
	AJ	3,172,910	03/65	Brace			
	AK	3,194,840	07/65	Berry			
	AL	3,238,235	03/66	Hauptschein et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes      No
	AM	EP 765676	04/97	Europe (abstract)			x
	AN	DE 2120868	11/71	Germany (abstract)			x
	AO	DE 2239709	02/73	Germany (abstract)			x
	AP	DE 2247111	04/74	Germany (abstract)			x
<b>OTHER REFERENCES</b> (including Author, Title, Date, Pertinent Pages, Etc.)							
	AQ	Sawada, Hideo: "Novel Self-Assembled Molecular Aggregates Formed by Fluoroalkyl End-Capped Oligomers and Their Application" <i>Journal of Fluorine Chemistry</i> 121 (2003), pgs. 111-130					
EXAMINER					DATE CONSIDERED		
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Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	3,256,231	06/66	Johnson, Jr. et al.				
	AB	3,282,905	11/66	Fasick et al.				
	AC	3,304,278	02/67	Hauptschein et al.				
	AD	3,351,643	11/67	Hauptschein et al.				
	AE	3,351,644	11/67	Hauptschein et al.				
	AE	3,450,755	06/69	Ahlbrecht				
	AG	3,457,247	07/69	Katsushima et al.				
	AH	3,458,571	07/69	Tokoli				
	AI	3,475,333	10/69	Meldrum et al.				
	AJ	3,491,169	01/70	Raynolds et al.				
	AK	3,497,575	02/70	Kleiner et al.				
	AL	3,498,958	03/70	Ray-Chaudhuri et al.				
<b>FOREIGN PATENT DOCUMENTS</b>								
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	AM	DE 2247182	04/74	Germany (abstract)			x	
	AN	GB 2 070 595 ✓	02/80	United Kingdom			x	
	AO	GB 2 018 759	04/78	United Kingdom			x	
	AP	JP 04272986	09/92	Japan (abstract)			x	
<b>OTHER REFERENCES</b> (including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ	Sawada, et al.; "Synthesis and Surfactant Properties of Fluoroalkylated Sulfonic Acid Oligomers as a New Class of Human Immunodeficiency Virus Inhibitors", <i>Journal of Fluorine Chemistry</i> 79 (1996), pgs. 149-155						
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<b>U.S. PATENT DOCUMENTS</b>								
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	3,514,420	05/70	Katsushima et al.				
	AB	3,532,659	10/70	Hager et al.				
	AC	3,536,749	10/70	Groves				
	AD	3,544,663	12/70	Hauptschein et al.				
	AE	3,574,518	04/71	Detomaso				
	AF	3,575,940	04/71	Katsushima et al.				
	AG	3,623,963	11/71	Voss et al.				
	AH	3,636,085	01/72	Kleiner				
	AI	3,721,706	03/73	Hoffman et al.				
	AJ	3,752,783	08/73	Iwantani				
	AK	3,759,981	09/73	Hager et al.				
	AL	3,816,277	06/74	Haszeldine et al.				
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	AM	JP 04272987	09/92	Japan (abstract)			x	
	AN	JP 43020466	09/68	Japan (abstract)			x	
	AO	JP 44001216	01/69	Japan (abstract)			x	
	AP	JP 48023684	03/73	Japan (abstract)			x	
<b>OTHER REFERENCES</b> (including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ	Krafft, et al.; "Highly fluorinated amphiphiles and colloidal systems...."; Biochimie 1998; 1 page; (Database 1998:620482 CAPLUS)						
	AR	Nivet et al; "Synthesis and bioacceptability of fluorinated...."; European Journal of Medicinal Chemistry, 1992; 1 page; (Database 1993:408334 CAPLUS)						
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<b>U.S. PATENT DOCUMENTS</b>								
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	3,824,126	07/74	Katsushima et al.				
	AB	3,839,425	10/74	Bartlett				
	AC	3,843,735	04/74	Knell, et al.				
	AD	3,883,596	05/75	Hager et al.				
	AF	3,899,484	05/75	Walter				
	AF	3,906,049	05/75	Hager et al.				
	AG	3,907,576	09/75	Dear et al.				
	AH	3,919,361	11/75	Katsushima et al.				
	AI	3,933,819	01/76	Toukan et al.				
	AJ	3,957,657	05/76	Chiesa, Jr.				
	AK	4,000,188	12/76	Dear et al.				
	AJ	4,043,923	08/77	Loudas				
<b>FOREIGN PATENT DOCUMENTS</b>								
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							Yes	No
	AM	JP 49040040	10/74	Japan (abstract)			x	
	AN	JP 53081799	07/78	Japan (abstract)			x	
	AO	JP 55071779	05/80	Japan (abstract)			x	
	AP	JP 56122336	09/81	Japan (abstract)			x	
<b>OTHER REFERENCES</b> (including Author, Title, Date, Pertinent Pages, Etc.)								
	AO	Sawada et al.; "Synthesis and properties of...."; European Polymer Journal, 1999, 1 page; (Database 1999:411635 CAPLUS)						
	AR	Nivet et al.; "Evaluation of a series of...."; Journal of Dispersion Science and Technology, 1992 ; 1 page; (Database 1993:66807 CAPLUS)						
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<b>U.S. PATENT DOCUMENTS</b>								
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	AA	4,060,132	11/77	Chiesa Jr.				
	AB	4,060,489	11/77	Chiesa Jr.				
	AC	4,081,399	03/78	Dear et al.				
	AD	4,089,804	05/78	Falk				
	AE	4,113,748	05/78	Hager et al.				
	AE	4,126,633	11/78	Toukan et al.				
	AG	4,127,711	11/78	Lore et al.				
	AH	4,134,754	01/79	Hoffman				
	AI	4,145,382	03/79	Hayashi et al.				
	AJ	4,147,851	04/79	Raynolds				
	AK	4,170,636	10/79	Engel et al.				
	AL	4,177,351	12/79	Toukan et al.				
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	AM	JP 56169666	12/81	Japan (abstract)			x	
	AN	JP 58042682	03/83	Japan (abstract)			x	
	AO	JP 58201752	11/83	Japan (abstract)			x	
	AP	JP 59059977	04/84	Japan (abstract)			x	
<b>OTHER REFERENCES</b> (including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ	Nivet et al.; "Synthesis of new ***perfluoroalkylated***...."; New Journal of Chemistry 1994; 1 page; (Database 1995:38527 CAPLUS)						
	AR	Sawada et al.; "Novel fluorinated...."; Nihon Yukagakkaishi, 2000; 1 page; (Database 2000:752279 CAPLUS)						
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<b>U.S. PATENT DOCUMENTS</b>								
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	AA	4,188,307	02/80	Bathelt				
	AB	4,192,754	03/80	Marshall et al.				
	AC	4,209,456	06/80	Billenstein et al.				
	AD	4,230,495	10/80	Lee et al.				
	AE	4,254,266	03/81	Toukan et al.				
	AF	4,283,533	08/81	Richter				
	AG	4,303,534	12/81	Hisamoto et al.				
	AH	4,306,979	12/81	Tsuji				
	AI	4,317,859	03/82	Smith				
	AJ	4,351,946	09/82	Toukan et al.				
	AK	4,366,299	12/82	Dessaint				
	AL	4,387,032	06/83	Chiesa, Jr.				
<b>FOREIGN PATENT DOCUMENTS</b>								
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	AM	JP 61069813	04/86	Japan (abstract)			x	
	AN	JP 08012536	01/96	Japan (abstract)			x	
	AO	JP 08081883	03/96	Japan (abstract)			x	
	AP	JP 2000126327	05/00	Japan (abstract)			x	
<b>OTHER REFERENCES</b> (including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ	Enjalbert et al.; "Synthesis of new cationic and amphoteric triple-chain...."; Research on Chemical Intermediates, 1998; 1 page; (Database 1998:422963 CAPLUS)						
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	AA	4,388,212	06/83	Richter			
	AB	4,419,298	12/83	Falk et al.			
	AC	4,420,434	12/83	Falk			
	AD	4,424,133	01/84	Mulligan			
	AE	4,460,480	07/84	Kleiner et al.			
	AF	4,464,267	08/84	Chiesa, Jr. et al.			
	AG	4,472,286	09/84	Falk			
	AH	4,478,975	10/84	Dessaint et al.			
	AI	4,486,391	12/84	Hashimoto			
<b>FOREIGN PATENT DOCUMENTS</b>							
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	AJ	JP 2000159840	06/00	Japan (abstract)			x
	AK	JP 2003312156	11/03	Japan (abstract)			x
	AL	US2005/003429	01/05	PCT-IPRP/Written Opinion			x
	AM	US05/03429	01/05	PCT-Int'l Search Rpt			x
	AN	US2005/002617	01/05	PCT-IPRP/Written Opinion			x
	AO	US05/02617	01/05	PCT-Int'l Search Rpt			x
<b>OTHER REFERENCES</b> (including Author, Title, Date, Pertinent Pages, Etc.)							
	AP	Szonyi et al.; "Synthesis of new amphoteric ... double-chain...."; Rivista Italiana delle Sostanze Grasse, 1998; 1 page; (Database 1998:465664 CAPLUS)					
	AQ	Prescher et al.; "Nitrogen-containing fluorosurfactants:"; Tenside, Surfactants, Detergents, 1992; 1 page; (Database 1992:653923 CAPLUS)					
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	AA	4,507,859	04/85	Shinjo			
	AB	4,563,287	01/86	Hisamoto et al.			
	AC	4,591,473	05/86	Lofquist et al.			
	AD	4,600,774	07/86	Koshar			
	AE	4,697,011	09/87	DesMarteau			
	AF	4,717,744	01/88	Boutevin et al.			
	AG	4,720,578	01/88	Liu			
	AH	4,879,324	11/89	Lausberg et al.			
	AI	4,985,526	01/91	Kishita et al.			
	AJ						
<b>FOREIGN PATENT DOCUMENTS</b>							
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	AL	US05/03433	01/05	PCT-Int'l Search Rpt			x
	AM	US2005/003137	01/05	PCT-IPRP/Written Opinion			x
	AN	US05/03137	01/05	PCT-Int'l Search Rpt			x
<b>OTHER REFERENCES</b> (including Author, Title, Date, Pertinent Pages, Etc.)							
	AO	Enjalbert et al.; "Synthesis fo new double-chain cationic...."; Tenside, Surfactants, Detergents, 1998; 1 page; (Database 1992:550675 CAPLUS)					
	AP	Rzhevskii et al.; "Testing of ***surfactants***...."; Tsvetnye Metally (Moscow), 1998; 1 page; (Database 1999:114673 CAPLUS)					
	AQ	Murata et al.; "Selective synthesis of fluorinated ethers...."; The Royal Society of Chemistry, 2002; pps. 60-63					
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	AB	4,898,981	02/90	Falk et al.				
	AC	5,026,910	09/91	Bollens et al.				
	AD	5,045,634	09/91	Fernandez et al.				
	AE	5,091,550	02/92	Falk et al.				
	AE	5,107,021	04/92	Bollens et al.				
	AG	5,132,445	07/92	Falk et al.				
	AH	5,218,021	06/93	Clark et al.				
	AI	5,240,990	08/93	Kallfass et al.				
	AJ	5,391,721	02/95	Hanen et al.				
	AK	5,395,997	03/97	Van Der Puy, et al.				
	AL							
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	AN	US05/03138	01/05	PCT-Int'l Search Rpt			x	
	AO	7-793	07/95	Japan				x
	AP	2-12681	02/90	Japan				x
<b>OTHER REFERENCES</b> (including Author, Title, Date, Pertinent Pages, Etc.)								
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<b>U.S. PATENT DOCUMENTS</b>								
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	5,439,998	08/95	Lina et al.				
	AB	5,478,486	12/95	Incorvia				
	AC	5,491,261	02/96	Haniff et al.				
	AD	5,504,265	04/96	Krespan et al.				
	AF	5,508,099	07/96	Incorvia				
	AF	5,534,192	07/96	Incorvia et al.				
	AG	5,539,024	07/96	Kirchmeyer et al.				
	AH	5,547,711	08/96	Kirchmeyer et al.				
	AI	5,629,372	05/97	Anton et al.				
	AJ	5,639,845	06/97	Inomata et al.				
	AK	5,648,527	07/97	Prossel et al.				
	AJ							
<b>FOREIGN PATENT DOCUMENTS</b>								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AM	WO 94/18245	08/94	PCT			x	
	AN	WO 98/19742	05/98	PCT			x	
	AO	WO 01/27235 A1	04/01	PCT			x	
	AP							
<b>OTHER REFERENCES</b> (including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ							
EXAMINER					DATE CONSIDERED			
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LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)					APPLICANT: Stephan Brandstadter, Ph. D. et al.			
					FILING DATE July 25, 2006		GROUP Unknown	
<b>U.S. PATENT DOCUMENTS</b>								
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	5,648,528	07/97	Prossel et al.				
	AB	5,674,961	10/97	Fitzgerald				
	AG	5,798,415	08/98	Corpart et al.				
	AD	5,827,919	10/98	May				
	AE	5,847,206	12/98	Pavia et al.				
	AF	5,883,185	03/99	Matsumura et al.				
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	AH	5,965,656	10/99	Yamamoto et al.				
	AI	6,002,002	12/99	Ürögdi et al.				
	AJ	6,015,838	01/00	Stern et al.				
	AK	6,120,892	09/00	Fitzgerald et al.				
	AL							
<b>FOREIGN PATENT DOCUMENTS</b>								
					Class	Subclass	Translation	
							Yes	No
	AM							
	AN							
	AO							
	AP							
<b>OTHER REFERENCES</b> (including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ							
EXAMINER					DATE CONSIDERED			
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<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AP	6,197,382 B1	03/01	Ornstein et al.			
	AB	6,218,464 B1	04/01	Parker et al.			
	AC	6,284,853 B1	09/01	Yamana et al.			
	AD	6,294,107 B1	09/01	Peters et al.			
	AA E	6,297,308 B1	10/01	Jariwala et al.			
	AP	6,379,578 B1	04/02	Shiga			
	AG	6,383,569 B2	05/02	Ornstein et al.			
	AH	6,486,245 B1	11/02	Thünemann et al.			
	AI	6,451,717 B1	09/02	Fitzgerald et al.			
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	AM	6,566,470 B2	05/03	Kantamneni et al.			
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	AP	6,800,788 B2	10/04	Bradley et al.			
	AQ	6,803,109 B2	10/04	Qiu et al.			
	AP	6,803,425 B2	10/04	Hintzer et al.			
	AB						
	AT						
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				FILING DATE July 25, 2006	ART GROUP Unknown		
<b>U.S. PATENT DOCUMENTS</b>							
*Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	6,809,216 B2	10/04	Bradley et al.			
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	AC	6,818,253 B2	11/04	Kimbrell			
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	AM	2003/0092862	05/03	Thomas et al.			
	AN	2003/0109662	06/03	Medsker et al.			
	AO	2003/0153780	08/03	Haniff et al.			
	AP	2003/0195314	08/03	Buckanin et al.			
	AQ	2003/0207202	11/03	Fujita et al.			
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